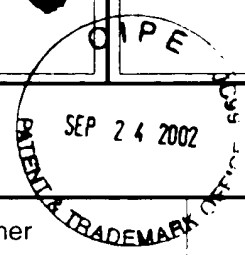


**Response To Notice To File Missing Parts Of Application
Filing Date Granted (PTO-1533)(Large Entity)**

Docket No.
DC4974

In Re Application Of: **Hwang et al.**



Serial No.
09/995,985

Filing Date
November 19, 2001

Examiner
to be assigned

Group Art Unit
1762

Invention: **COMPOSITIONS AND METHODS FOR FORMING DIELECTRIC LAYERS USING A COLLOID**

TO THE ASSISTANT COMMISSIONER FOR PATENTS:

Box Missing Parts

This is a response to the Notice to File Missing Parts of Application - Filing Date Granted (PTO-1533) mailed on
12/28/01
Date

Enclosed herewith for filing are the following:

- ☒ A copy of the Notice to File Missing Parts of Application - Filing Date Granted (PTO-1533). **(REQUIRED)**
- ☒ An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date.
- ☐ A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date.
- ☐ An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date.
- ☐ A verified English translation of the non-English language application papers as originally filed. It is requested that this translation be used as the copy for examination purposes in the United States Patent and Trademark Office.
- ☐ Other (list):

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Box Missing Parts

☒ Completion of application fees as calculated below:

☐ Utility application filing fee

☐ Design application filing fee

☐ Total number of independent claims = _____

☐ Total number of claims = _____

☐ Multiple dependent claims

☒ Surcharge for late payment of filing fee and/or late filing of original declaration or oath **\$130.00**

☐ Petition and fee for filing by other than all the inventors or a person not the inventor

☐ Fee for processing an application filed with a non-English language specification

☐ Fee for processing and retention of application

Total completion of application fees **\$130.00**

This is a request under the provisions of 37 CFR 1.136(a) to extend the period for filing a response to the above-identified Notice to File Missing Parts of Application. The requested extension is as follows (check time period desired). If an additional time extension is required, please consider this a petition therefor.

☐ One month ☐ Two months ☐ Three months ☐ Four months ☐ Five months

from: _____ until: _____
Date Date

Total time extension fees _____

Total fees due **\$130.00**

**Response To Notice To File Missing Parts Of Application
Filing Date Granted (PTO-1533) (Large Entity)**

Docket No.
DC4974

In Re Application Of: **Hwang et al.**

SEP 24 2002

Serial No.
09/995,985

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1762

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TO THE ASSISTANT COMMISSIONER FOR PATENTS:

Box Missing Parts

The fee of **\$130.00** is to be paid as follows:

- ☐ A check in the amount of the fee is enclosed.
- ☒ The Commissioner is hereby authorized to charge any fees which may be required, or credit any overpayment, to Deposit Account No. **04-1520**
A duplicate copy of this sheet is enclosed.
- ☒ If an additional extension of time is required, please consider this a petition therefor and charge any additional fees which may be required to Deposit Account No. **04-1520**
A duplicate copy of this sheet is enclosed.

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SEP 26 2002

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Dated: *Sept 19, 2002*

Sharon K. Severance
Signature

Sharon K. Severance
Phone: (989) 496-8120



00137

PATENT TRADEMARK OFFICE

cc:

I certify that this document and fee is being deposited on *9/19/02* with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

Kelly J. Smith
Signature of Person Mailing Correspondence

Kelly J. Smith

Typed or Printed Name of Person Mailing Correspondence

DAC A-2/s

CERTIFICATE OF MAILING BY FIRST CLASS MAIL (37 CFR 1.5)			Docket No. DC4974	
Applicant(s): Hwang et al.				
Serial No. 09/995,985	Filing Date 11/19/01	Examiner SEP 24 2002	Group Art Unit 1762	
Invention: COMPOSITIONS AND METHODS FOR FORMING DIELECTRIC LAYERS USING A COLLOID				
<p>I hereby certify that this <u>Petition for Revival; Facts relating to unavoidable abandonment; notice to file missing parts</u> Decl. & POA (Identify type of correspondence)</p> <p>is being deposited with the United States Postal Service as first class mail in an envelope addressed to: The Assistant Commissioner for Patents, Washington, D.C. 20231 on <u>09/19/2002</u> (Date)</p> <p><u>Kelly J. Smith</u> (Typed or Printed Name of Person Mailing Correspondence)</p> <p><u>Kelly J. Smith</u> (Signature of Person Mailing Correspondence)</p>				
<p>Note: Each paper must have its own certificate of mailing.</p>				
<p>RECEIVED SEP 26 2002 OFFICE OF PETITIONS</p>				



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D. C. 2023
www.uspto.gov

APPLICATION NUMBER	FILING RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/995,985	11/19/2001	Byung Keun Hwang	DC4974

CONFIRMATION NO. 5986

FORMALITIES LETTER



OC000000007238398

Catherine U. Brown
Dow Corning Corporation
Intellectual Property Dept. - CO1232
P.O. Box 994
Midland, MI 48686-0994

Date Mailed: 12/28/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION


FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 130.**

A copy of this notice MUST be returned with the reply.


Customer Service Center
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

09/26/2002 SSESNE1 00000052 041520 09995985

01 FC:105 130.00 CH

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